

Please complete this form and bring it, along with your **LAST YEAR'S TAX RETURNS**, to our meeting.

As independent as you are.

Confidential Financial Profile
Standard

Date: _____

CONFIDENTIAL FINANCIAL PROFILE

Please complete prior to your appointment. If not sure, leave blank. Print clearly. OK to approximate amounts and include attachments. Please remember to sign and date the last page and bring your most recent tax return.

CLIENT NAME _____

NICKNAME _____ DATE OF BIRTH _____ Age _____

SPOUSE NAME _____

NICKNAME _____ DATE OF BIRTH _____ Age _____

MAILING ADDRESS _____

CITY _____ STATE _____ ZIP _____

HOME PHONE (____) _____ BUSINESS PHONE (____) _____

Do you have a current Will? Y__N__ Living Trust? Y__N__

Are you concerned about possible Nursing Home Expenses? Y__N__

Planned retirement date: _____; or if retired, date retired: _____

AMOUNTS IN BANKS, SAVINGS & LOANS & CREDIT UNIONS (NON-IRA)
(i.e. Checking, Savings, Money Market, CDs)

	NAME OF INSTITUTION	TYPE OF ACCOUNT	MATURITY DATE	INTEREST RATE	APPROXIMATE BALANCE
1.	_____	_____	_____	_____	\$ _____
2.	_____	_____	_____	_____	\$ _____
3.	_____	_____	_____	_____	\$ _____
4.	_____	_____	_____	_____	\$ _____
5.	_____	_____	_____	_____	\$ _____
6.	_____	_____	_____	_____	\$ _____
7.	_____	_____	_____	_____	\$ _____

IRA ACCOUNTS AND OTHER RETIREMENT ACCOUNTS

(Please bring in your most recent statements)

	NAME OF CUSTODIAN / PLAN (Bank, Brokerage Firm, Mutual Fund Co, Employer, etc.)	TYPE (401K, IRA, TSA, Roth, etc.)	APPROXIMATE MARKET VALUE
1.	_____	_____	\$ _____
2.	_____	_____	\$ _____
3.	_____	_____	\$ _____
4.	_____	_____	\$ _____
5.	_____	_____	\$ _____

MUTUAL FUNDS AND/OR BROKERAGE ACCOUNTS

(Please bring in your most recent statements)

	NAME OF BROKERAGE FIRM / MUTUAL FUND CO.	TITLE OF ACCOUNT (individual, trust, joint, ect.)	APPROXIMATE MARKET VALUE
1.	_____	_____	\$ _____
2.	_____	_____	\$ _____
3.	_____	_____	\$ _____
4.	_____	_____	\$ _____
5.	_____	_____	\$ _____

STOCKS AND BONDS (Where You Hold Certificates Yourself)

	NAME OF STOCK/BOND	NUMBER OF SHARES	APPROXIMATE MARKET VALUE
1.	_____	_____	\$ _____
2.	_____	_____	\$ _____
3.	_____	_____	\$ _____
4.	_____	_____	\$ _____

PROMISSORY NOTES & TRUST DEEDS

(Where someone owes or is paying you on a note)

	NAME OF DEBTOR	MATURITY DATE	INTEREST RATE	APPROXIMATE BALANCE OF NOTE
1.	_____	_____	_____ %	\$ _____
2.	_____	_____	_____ %	\$ _____

RESIDENCE & OTHER REAL ESTATE OWNED

(Use another sheet if more space is needed)

PROPERTY ADDRESS	ORIGINAL COST	APPROX. VALUE	DEBT	NET CASHFLOW BEFORE DEPREC (if a rental)
1. _____	\$ _____	\$ _____	\$ _____	\$ _____
2. _____	\$ _____	\$ _____	\$ _____	\$ _____
3. _____	\$ _____	\$ _____	\$ _____	\$ _____
4. _____	\$ _____	\$ _____	\$ _____	\$ _____
5. _____	\$ _____	\$ _____	\$ _____	\$ _____

LIMITED OR GENERAL PARTNERSHIPS

NAME OF PARTNERSHIP	TYPE OF INVESTMENT	APPROXIMATE MARKET VALUE or AMOUNT INVESTED
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____

LIFE INSURANCE

(Please bring in policies and latest statements)

INSURANCE COMPANY	NAME OF INSURED	TYPE OF INSURANCE (Whole Life, UL, Term)	DEATH BENEFIT	CASH VALUE	LOAN AMOUNT
1. _____	_____	_____	\$ _____	\$ _____	\$ _____
2. _____	_____	_____	\$ _____	\$ _____	\$ _____
3. _____	_____	_____	\$ _____	\$ _____	\$ _____
4. _____	_____	_____	\$ _____	\$ _____	\$ _____

